# Active Shape Models with Stasm

# ${\bf Stephen\ Milborrow}$

Document version 11 for Stasm version 2.4 with updates for Marki 2.4e  ${\rm May}~9,\,2009$ 

# Contents

1	Intr	$\operatorname{oduction}$	2
<b>2</b>	Stas	m: finding landmarks	٩
	2.1	Expectations	3
	2.2	Hints	4
	2.3	Stasm flags	4
	2.4	Measuring the fit	5
	2.5	Files used by Stasm	6
3	Was	sm: a Windows front-end to Stasm	6
4	Shaj	pe files and tag strings	8
	4.1	Image names in shape files	8
	4.2	Shape attributes	8
	4.3	Shape coordinates	Ć
	4.4	Unused landmarks	Ć
	4.5	68.shape and 84.shape	Ć
	4.6	Mirrored images	10
5	Con	fig files	11
	5.1	Common Tasm options	12
	5.2	Common Stasm options	14
6 ASM files		M files	16
	6.1	Converting old ASM files	16
7	Tası	m: building ASMs	18
	7.1	Tasm flags	18
	7.2	Examples using the standard shape files and XM2VTS images	19
	7.3	An example using non XM2VTS shapes	20
	7.4	ASMs for objects other than faces	

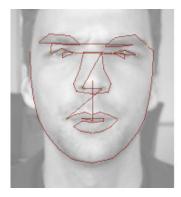
8	Marki: manually landmarking images	21
	8.1 Using Marki	22
	8.2 The mouse	25
	8.3 The -p and -P flags	26
	8.4 Preparing a shape file for Marki	27
	8.5 Marki annoyances	27
9	Fdet: locating faces	27
10	Mdiff: comparing text files	28
11	Software	28
	11.1 Building the executables under Linux	28
	11.2 Building the executables under Windows	28
	11.2.1 Building from the Windows command line	28
	11.2.2 Building from within the Visual C IDE	29
	11.2.3 Some common build errors	29
	11.3 Comparing the executables	30
	11.4 Calling the landmark locator from your own code	30
	11.5 Aspects of the Stasm code	31
<b>12</b>	2 Acknowledgments	33
${f Bi}$	ibliography	35

# 1 Introduction

Stasm is a software package for locating landmarks using Active Shape Models (ASMs). The package comes preconfigured for locating landmarks in faces. It also allows you to build new ASMs. The software is written in C++ and the source code is available under the Gnu Public License Version 2.

Name	Description			
Stasm	locate facial landmarks			
Wasm	Windows front-end to Stasm			
Minimal	small program showing how to use AsmSearch() to locate landmarks			
Tasm	train ASMs			
Fdet	find faces using Rowley or Viola Jones face detectors			
Marki	manually landmark images			
Mdiff	like UNIX diff but ignores text in [brackets]			
summary.R	R language utility to summarize and plot fits			

Figure 1: Programs in the Stasm package.



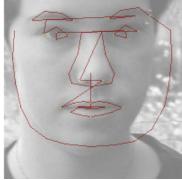


Figure 2: Good and bad results from Stasm. The left image is from the BioID set [JKF01]

This document assumes that you would like some understanding of Stasm's internals. If you just want to see Stasm locating landmarks, try the Wasm program (Section 3). To build the executables, see Section 11.2. To call the facial landmark locater from your own program, see Section 11.4.

Figure 1 is a list of executables. Note that Stasm is the name of the package and also of an executable in the package. The context make it clear if we are talking about Stasm the package or Stasm the program.

Some familiarity with ASMs is assumed. Cootes et al. [CT04] or Chapter 2 of [Mil07] are good places to start. Stasm supports some extensions to the classical shape model, as summarized in [MN08].

The HISTORY.txt file lists the differences between versions of Stasm.

# 2 Stasm: finding landmarks

Stasm is a command line utility for finding landmarks.

Stasm writes the results to a text file called stasm.log. Stasm also writes an image called stasm-IMAGE.bmp to the current directory. If you don't want the image, use the -if flag.

#### 2.1 Expectations

Stasm is designed to work on "passport style" photographs i.e. on front views of upright faces with neutral expressions. You will often see poor fits on faces at angles or with expressions such as smiling mouths. Like all automatic techniques at the current time it is not as accurate as a human landmarker and will sometimes make quiet bad location errors (Figure 2).

#### 2.2 Hints

The face should be at least a quarter of the image wide. Faces smaller than that are ignored by (Stasm's configuration of) the Viola Jones detector. If there are multiple faces in the image, Stasm uses the most central face.

Stasm scales the face width internally to 180 pixels. Large images with faces much wider than that slow down the face detector search without improving landmark accuracy.

Stasm knows how to process JPEGs, BMPs, PGMs, and PPMs. It uses the file extension to determine the type of image. JPEGs are smaller than PGMs but reading them is slower, which usually only matters if you are processing large numbers of images. BMPs are both bigger and slower than PGMs.

#### 2.3 Stasm flags

Stasm is invoked as

```
stasm [FLAGS] IMAGE [IMAGE2 ...]
```

where the optional flags are

-r use the Rowley face detector (default is Viola Jones)

Before the search for the landmarks begins, Stasm must find the position of the face in the image using a global face detector. The default is the Viola Jones detector (but was Rowley prior to Stasm version 1.7).

Stasm uses the OpenCV implementation of the Viola Jones detector [VJ01] [LM02]. The "slow but accurate" settings are used.

The Rowley detector [RBK98] is slower and sometimes fails to find the face, but often gives slightly better fits.

- -i[sSfF] write images (default is -iF meaning write image showing final shape)
  - -iS start shape
  - -if no final shape i.e. generate no images.

#### -c FILE.conf config file name

Tell Stasm what parameters and ASM model(s) to use by invoking config file(s) with the -c flag. Config files are described in Section 5. Use -c twice to specify stacked models.

The default is

```
-c ../data/model-1.conf -c ../data/model-2.conf
```

i.e two stacked models: the first using 1D profiles with 68 points; the second using 2D profiles with 84 points.

```
If you would like to use just 1D profiles, invoke Stasm as stasm -c .../data/model-1.conf *.jpg
```

file	npoints	start	final
B0000_01	17	0.33296	0.23045
B0001_01	17	0.35287	0.24544
B0002_01	17	0.24411	0.21619

Figure 3: An example "tab" file.

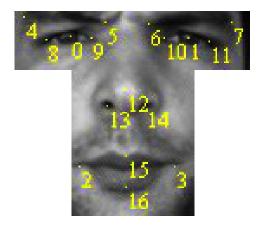


Figure 4: The me17 landmarks. Note that the landmarks are all internal to the face. The fit is normalized by dividing by the distance between the eye pupils. (This image is from the BioID set.)

#### -t TABFILE SHAPEFILE use a reference shape file

This flag has two effects (i) write fits to TABFILE (see the next section) and (ii) use the face detector locations in SHAPEFILE.

Example: stasm -t test.tab ../data/68.shape \*.jpg.

With this flag, Stasm uses the face detector locations saved in the shape file rather than actually invoking the face detector. It matches the (base of the) image file name against the tag string in the shape file. The advantage is speed, especially when using the Rowley detector.

Stasm will report that it can't find the face if the face detector location is not in the shape file (change that with the config option fStasmSkipIfNotInShapeFile described in Section 5.2).

The face detector locations are available in the standard shape files 68.shape and 84.shape (Section 4.5). You can put detector locations into a new shape file using Fdet (Section 9).

#### 2.4 Measuring the fit

With the -t flag, Stasm measures the final fit against the specified reference shape file and writes the results to a "tab" file (Figure 2.3). Fits are measured as the sum of euclidean distances between fitted points and corresponding reference points in the shape file.

File	Description
model-1.conf model-2.conf	Config files specifying which ASM to use, number of eigs, etc.
model-1.asm model-2.asm	68 point ASM model with 1D profiles 84 point ASM model with 2D profiles
haarcascade_frontalface_alt2.xml	Viola Jones detector data
*mask*.pgm *.net *.wet	Rowley detector data

Figure 5: Data files read by Stasm when run with the default options.

The fit is measured on all landmarks unless the config option fMe17 is set, in which case the me17 subset of points is used (Stasm's default config files set fMe17 true, Section 5.1). Following Cristinacce section 6.1.4 [Cri04], the me17 is calculated on 17 points internal to the face (Figure 4), and the fit for each face is scaled by dividing by the distance between the eye pupils on the reference image. An advantage is that measurements can be made on shapes with different numbers of landmarks, as long the me17 points appear in both shapes (which is the case for the XM2VTS, AR, and BioID shapes). The measure, like any, is to some extent arbitrary. It ignores, for example, points on the face perimeter.

The R language program summary.R in the tools directory reads .tab files, summarizes them, and plots fits. It prints the min, max, mean, and median fits. (The R web page is http://www.r-project.org.)

#### 2.5 Files used by Stasm

Figure 5 shows the files used by Stasm. Data files are stored in the ../data directory relative to the executable.

#### 3 Wasm: a Windows front-end to Stasm

Wasm is a front end to the Stasm routines. Figure 6 shows a screenshot. Wasm was designed to be a simple downloadable utility for people try out the feature detector.

Wasm has no command line options. It uses the Viola Jones detector and the same config files used by default by the Stasm executable (model-1.conf and model-2.conf).

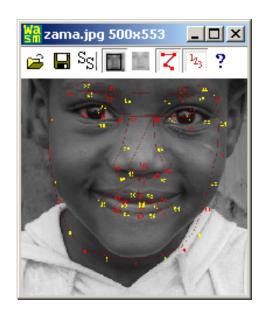


Figure 6: Wasm: a Windows front-end to Stasm.

```
ss # first two characters must be "ss", comments are preceded by "#"
# "Directories" is the image search path with each directory separated by a semicolon
Directories /faces/xm2vts;/faces/biod;/faces/ar
"0000 image1" # tag string has attributes (in hex) and image name
{ 68 2
            # a mat with 68 points, each with 2 coordinates
 -101.6 6.2
 -103.3 -33.9
}
"0000 image2"
{ 68 2
 -101.6 6.2
 -103.3 -33.9
}
"1000 image1" # Viola Jones locations have attributes 0x1000
{ 1 4
            # a vector with 4 elements (x, y, width, and height of the face box)
 8 -24 279 279
{ 1 8  # x, y, width, height, xleye, yleye, xreye, yreye
 12 -46 257 257 -50 8 59 5
. . .
```

Figure 7: An example shape file. This example includes saved face detector locations, which are optional in a shape file.

$\operatorname{BadImage}$	0001	image is "bad" in some way (blurred, face tilted, etc.)
Glasses	0002	face is wearing specs
Beard	0004	beard including possible mustache
Mustache	8000	mustache but no beard occluding chin or cheeks
Obscured	0010	faces is obscured e.g. by subject's hand
EyesClosed	0020	eyes closed (partially open is not considered closed)
Expression	0040	non-neutral expression on face
NnFailed	0800	Rowley search failed (a rough measure of face quality)
Synthesize	0100	synthesize eye points from twin landmark
ViolaJones	1000	Viola Jones detector results
Rowley	2000	Rowley detector results

Figure 8: Shape attribute bits (atface.hpp).

# 4 Shape files and tag strings

Shape files are a central part of the Stasm software. Figure 7 shows an example.

The Directories string at the top of the file specifies an image search path with each directory separated by a semicolon. You should change the string in the standard shapes files 68.shape and 84.shape for your environment.

The tag string before each shape identifies the image attributes and name.

### 4.1 Image names in shape files

File name extensions (such as .jpg) should not be used in tag strings (the software will issue a warning when it reads the file). When looking for a file matching a tag, the software searches for a matching file name with any of the standard image suffixes in the directories listed in Directories. The "standard images suffixes" are .jpg, .bmp, .pgm, and .ppm. The search order is unpredictable (well, actually it isn't, but for efficiency changes dynamically. See imgiven.cpp).

This behaviour is different from versions of Stasm prior to 1.7, where file name extensions were stored in the shape files. To convert an old to a new file, remove the file extensions in a text editor.

#### 4.2 Shape attributes

Attributes in a tag string are a bit field specified as a four digit hex number. For example, the Viola Jones detector positions have attributes of 1000. See Figure 8.

If you are creating a new shape file, attributes (except ViolaJones and Rowley) (for saved face detector shapes) are entirely optional and only necessary if you want to train on subsets of images defined by attributes. The standard shape files 68.shape and 84.shape supplied

with Stasm (Section 4.5) are "fully tagged" with the bits in Figure 8.

#### 4.3 Shape coordinates

Stasm uses the following coordinate system. The center of the image is [0,0]. As x increases, you move to the right; as y increases, you move up the image. In other words, they are standard Cartesian coordinates centered on the center of the image.

To convert Stasm coordinates to coordinates with x,y at the top left image corner:

```
x = x + ImageWidth / 2

y = ImageHeight / 2 - y
```

See CONVERT\_TO\_OPENCY\_COORDS in stasm/main.c for an example. See fViolaJonesFindFace() for conversion in the other direction.

#### 4.4 Unused landmarks

An unused or uninitialized landmark has a "position" in a shape matrix with both x and y equal to 0. The x position of a valid landmark that happens to be at [0,0] is thus jittered by Marki to 0.1 (a one tenth of a pixel offset).

Unused landmarks are for more esoteric applications of Tasm where landmarks are synthesized or models are built with different sized shapes. It is unlikely that you will need unused landmarks when creating your own shape files.

#### 4.5 68.shape and 84.shape

The principal shape files used by Stasm are 68.shape and 84.shape. Section 8 shows how to use Marki to examine landmarks in these files.

Modify these files for your environment by changing the directories in the Directories string.

68. shape specifies the following:

1. The manually landmarked positions for the XM2VTS, AR, and BioID images. The landmarks from the sites listed below were converted to Stasm coordinates and then inserted into the shape file (Section 4.3):

```
http://www-prima.inrialpes.fr/FGnet/data/05-ARFace/tarfd_markup.html http://www-prima.inrialpes.fr/FGnet/data/07-XM2VTS/xm2vts_markup.html http://www-prima.inrialpes.fr/FGnet/data/11-BioID/bioid_points.html A big thanks goes out to the people who did the manual landmarking and made the results available.
```

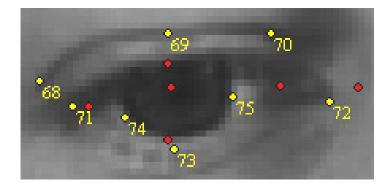


Figure 9: Left eye with new landmarks in yellow, existing XM2VTS landmarks in red.

The BioID names in the file have the form Bxxxx\_ii where ii is the individual number (01 to 27). The individual numbers were added as part of the Stasm project, so the names are not exactly the standard BioID names. The XM2VTS names are prefixed with an "m".

- 2. The landmark positions for the mirrored XM2VTS, AR, and BioID images (Section 4.6). The names of these images have r (for "reversed") as the second character.
- 3. The global face positions discovered by the Rowley and Viola Jones detectors. These positions were generated by running Fdet and pasting the output into 68.shape, as described in Section 9. The face positions are used by Stasm with the -t flag as described in Section 2.3, and by Tasm as described in Section 7.3.

84.shape is identical to 68.shape except that the XM2VTS shapes have been extended to 84 points. We call these the "extended" XM2VTS landmarks. For most of these shapes, the extra points are set to [0,0], meaning unused. However, 723 of the shapes have extra eye landmarks (Figure 9). See landmarks.hpp.

Actually only the extra *left* eye landmarks were manually landmarked. The extra right eye landmarks remain at [0,0] in the shape file and are synthesized by Tasm by symmetry from the left eye landmarks (if the config option fSynthEyePoints is set, which it always must be to use XM2VTS shapes in 84.shape successfully).

### 4.6 Mirrored images

If you are creating you own shape file, you can double the number of training images by mirroring the existing images. That saves a lot of extra manual landmarking. Mirrored images can be mechanically generated in any image editing program such as the GIMP, ImageMagick, or Photoshop.

By convention in the Stasm software, the second character of the file name of mirrored images is r (for "reversed"). Thus B0000\_01.jpg becomes Br0000\_01.jpg.

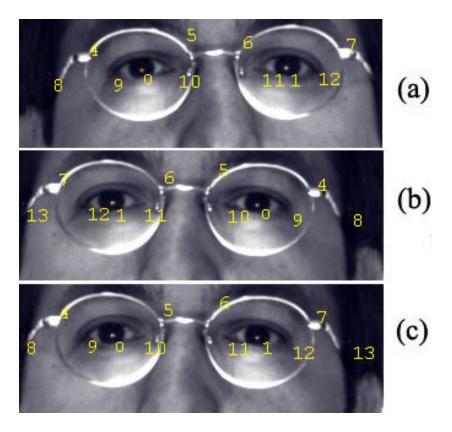


Figure 10: Mirroring an image:

- a) Original image with landmarks
- b) Mirrored image. Landmarks mirrored but not renumbered wrong!
- c) Mirrored image. Landmarks mirrored and correctly renumbered.

The image is from the AR set [MB98].

You will need to add the mirrored landmarks to the shape file. One way of doing that is illustrated by the utility mirror-ar.awk in the tools directory. This generates mirrored landmarks for AR shapes by reading the existing AR landmarks in 68.shape. There are two steps (mirror-ar.awk does both):

- 1. negate the x coordinates (Stasm coordinates are defined in Section 4.3)
- 2. change the order of the points i.e. move them to their mirrored positions in the shape array (Figure 10). It is easy to forget this step, which really messes up the shape model. Marki is useful for checking.

# 5 Config files

Stasm and Tasm are configured by reading config files holding *config options*. Figure 11 shows an example config file. Nearly all options have defaults and an entry in a config file is only

Figure 11: An example config file for Stasm.

#### Type Naming convention Example String s prefix sShapeFile "68.shape" Integer n prefix nEigs 22 f prefix (for "flag") Boolean fPrescaleBilinear 1 Hex AttrMask0 0x00f1 none Real MaxShapeAlignDist 1e-6

Figure 12: Config option types.

needed if the default is not correct. Parameters can appear in any order in the config file. If a parameter appears twice, the last occurrence is used. By convention, config file names for Tasm begin with tasm-.

Some of the options used by Tasm must be passed on to Stasm (for example NormalizedProfLen). Tasm writes these options into the ASM file so Stasm can read them.

Stasm also reads its own options from separate config file(s). By default, Stasm uses a stacked model defined by model-1.conf and model-2.conf. Change that with the -c flag.

Figure 12 shows the different types of config option. In the sources, config options are prefixed with CONF\_. Ugly but clear.

In versions prior to 1.7, the Stasm package used #defines in the source code instead of config options. This caused confusion — you were often not sure which options were used for the particular version of your executable, or if your Stasm matched your Tasm (which is not to say that config files completely resolve such issues).

#### 5.1 Common Tasm options

This section briefly describes some Tasm options. See tasm.cpp for more options and details. The effect of most of these options is evaluated in [Mil07]. This section is perhaps best read after the section describing Tasm (Section 7).

**sShapeFile** The shape file. No default.

sTagRegex Tasm only loads shapes where the file name in the tag string matches sTagRegex, which is an egrep-style case-insensitive regular expression. (The tag string is the string before the shape in the shape file, see Section 4). For example (note the space in "B"):

```
sTagRegex " B"
```

matches only BioID shapes (including mirrored BioID shapes). Section 8.3 has further examples.

The default value is "", which matches all shapes. The config files used to build model-1.asm and model-2.asm have this option set to " m" i.e. match XM2VTS files.

AttrMask1, AttrMask0 Tasm only uses shapes that satisfy

```
(Attr & AttrMask0) == AttrMask1
```

where Attr is the hex number at start of the tag string for the shape. AttrMaskO and AttrMask1 are specified in the shape file as hex numbers (Figures 7 and 8). Section 8.3 has examples. The defaults 0xO and 0xO match all shapes. If sTagRegex is set, then both it and the attribute masks apply.

The config file used to build model-2.asm has these options at 0xf1 and 0x0 to exclude faces with eyes closed and so on.

- **nLevs** The number of pyramid levels. Default is 4. This is one of a host of options not explicitly mentioned here that specify basic parameters of the ASM model. See tasm.cpp.
- nMaxShapes Default is 0. If not 0, specifies the number of shapes to use for training (after filtering by sTagRegex, AttrMask1, and AttrMask0). If the config option nSeed\_SelectShapes is 0, Stasm uses the first nMaxShapes; else it selects a random sample (without replacement) with a random seed of nSeed\_SelectShapes. See ReadSelectedShapes().
- nLev2d Tasm generates 2D profs for pyramid levels less than or equal to nLev2d. Pyramid level 0 is full size; level 1 is half size (assuming the config option PyrRatio is at its default of 2); and so on. The default nLev2d is -1 meaning no 2D profiles i.e. all 1D profiles.
- **ProfType** Profile type for 1D profiles. Currently only "Classic Cootes" profiles are supported i.e. take the difference between this pixel and the next, and normalize by dividing by the sum of absolute values in the profile. See prof.hpp.

The Masm research code supported a number of other 1D profile types, but they were removed in the interest of simplicity. Add other profile types for your application by lifting code from the Masm sources, or you could add your own profile types (possibly a good research direction).

- **ProfType2d** Profile type for 2D profiles ("profile" is a slight misnomer here). Currently only the following 2D profile type is supported: sum the difference between this pixel and the pixel to the right and the difference between this pixel and the pixel below it, then normalize by dividing by the sum of absolute values in the profile using a small amount of sigmoid normalization. See the above remarks about the Masm code.
- nStandardFaceWidth Default is 180 pixels. If non zero, faces are scaled (by both Tasm and Stasm) so their width is nStandardFaceWidth. The start shape is used to estimate the width of the face. The "width" is the horizontal distance between the left- and the right-most landmarks.

**fXm2vts** Default is false. If set, treat landmarks as being numbered using the standard or extended XM2VTS numbering scheme. "Extended" XM2VTS numbering means that the first 68 landmarks use the standard XM2VTS numbering, and the remaining landmarks (up to 84, all additional eye landmarks) are numbered as laid out in landmarks.hpp.

This option itself has no effect but must be true to allow certain other options to be set. These are the options which need to know what part of the face corresponds to a given landmark. Such options include the Tasm options fSynthEyePoints and fUnobscuredFeats and the Stasm options fMe17, nVjMethod, and nRowleyMethod.

- fSynthEyePoints Default is false. Requires fXm2vts. Use symmetry to synthesize missing right eye landmarks (at indices greater than 68) from left eye landmarks. See the description of 84.shape in Section 4.5 and eyesynth.cpp.
- **fUnobscuredFeats** Default is false. Requires fXm2vts. If set, during training Tasm uses a landmark from an image only if that landmark is not obscured in that image. Thus if set, eye landmarks for example will not be used in faces that are wearing glasses.
- nWhich2d Default is 0. In a pyramid level that supports 2D profiles, nWhich2d specifies which landmarks are actually 2D. Can take values All=0, InternalExceptMouth=1, Internal=2, Eyes=3, or EyesExt=4 (for precisely what these mean, see GetGenProfSpec()). Only 0 is allowed unless fXm2vts is set.
- fTasmSkipIfNotInShapeFile Default is false. This applies to the phase of Tasm that builds a transform from the Viola Jones frame (and the Rowley frame, in an independent phase) to the positions of the training faces (using a technique submitted by GuoQing Hu). Tasm searches first in the given shape file for a saved face detector location. If the location is not in the file, Tasm then invokes the face detector, unless fTasmSkipIfNotInShapeFile is true.

The idea with this flag true is that if you have already put all possible face detector locations for the training images in the shape file (using Fdet), then it is pointless and time consuming to re-invoke the face detector. "All possible" means that only face locations in the training set where the face detector failed are missing from the shape file. The standard shape files 68.shape and 84.shape include all possible detector locations for the XM2VTS, AR, and BioID images and their mirrors.

See also the Stasm option fStasmSkipIfNotInShapeFile.

**nSleep** Described in Section 5.2.

# 5.2 Common Stasm options

This section briefly describes some Stasm options. See initasm.cpp for more options and details. Stasm inherits some options from Tasm via the ASM file and these are not mentioned below (see the header of any .asm file to see what they are).

sAsmFile The ASM file. No default.

sAsmFile is a relative path name (relative to the directory holding the config file) unless the entry is prefixed with / or ./ indicating that the path name should be used as is. When testing new models, it is easy to use the wrong ASM file. Stasm prints out which file it is using and it is sensible to check that.

**nEigs** Specify the number of eigenvectors to use in the shape model. The default is one third of the eigenvectors, which will be wrong for most applications, but there is no simple correct default.

There are related options to loosen up the shape model at specific points in the search (nEigsLev0, nEigsLev0\_2d, and nEigsFinal). See the sources and [Mil07] for details.

**BMax** Default is 1.8. Specifies the limit "b" in the shape model.

There are related options to loosen up the shape model at specific points in the search (BMaxLevO, BMaxLevO\_2d, and BMaxFinal).

- **nPixSearch** Default is 3. Specifies how far along the whisker to search (along each side of the whisker) for 1D profiles.
- **nPixSearch2d** Default is 2. Like **nPixSearch** but for 2D profiles, Applies to both the x and y directions.
- **nMaxSearchIters** Default is 4. Specifies the maximum of iterations in the ASM search at each pyramid level. See the related option nQualifyingDisp in the source code.
- nMaxSearchIters2d Default is 4. Like nMaxSearchIters but for pyramid levels with 2D profiles.
- **n2ndModelStartLev** Pyramid level at which the second of the stacked models starts its search (assuming stacked models are being used). Default is 1 (i.e. one level below full size). Experiments show that it is pointless starting the second model at the bottom of the image pyramid (it is slower and results are not better).
- **VjScale** Default is 0.9. Stasm downscales the Viola Jones face box by this amount before using it to find the location of the start shape.

The idea is that when positioning the start shape, it is better to err in making the start shape too small than too big (because profiles matches inside the face are more reliable than those outside the face). Measurements on a validation set (not the training set) show this to be true.

RowleyScale Same as VjScale but for the Rowley detector. Default is 1.2.

nRowleyMethod Default is 1 (in the code, but set 0 in the default Stasm config files). Requires (the Tasm option) fXm2vts to be set in the ASM file.

If 0, use the hand crafted method of fitting the start shape to the Rowley face detector. The hand-crafted method uses the detected eye as well as the face positions. It is a bit of a hack but does give better results.

**nVjMethod** Default is 1 (in the code, but set 0 in the default Stasm config files). Like nRowleyMethod but for the Viola Jones detector.

fMe17 Default is false (in the code, but set true in the default Stasm config files). Only applies if Stasm's -t flag is used. Requires (the Tasm option) fXm2vts to be set in the ASM file.

If the Stasm flag -t is used, Stasm measures the fit of the landmark points against the manually landmarked fits saved in the shape file.

If fMe17 is false, all points are used when measuring the fit.

If fMe17 is true, the subset of 17 points defined by the me17 measure is used to measure the fit.

An advantage is that fits can be measured on shapes with a number of landmarks different from those in the training set. See Section 2.4 for a description of me17.

fStasmSkipIfNotInShapeFile Default is true. Only applies if Stasm's -t flag is used.

When the -t flag is used, Stasm searches first in the given shape file for the saved face detector location for the current image. If the location is not in the shape file, Stasm prints a warning and moves on to the next input image. However, if

fStasmSkipIfNotInShapeFile is false, Stasm will instead call the face detector if a location is not found in the shape file. See the Tasm option fTasmSkipIfNotInShapeFile for a rationale.

nSleep Default is 10 milliseconds. Stasm and Tasm are system hogs, but do at least "sleep" periodically to give other processes a chance. A non-zero nSleep makes the overall system more reponsive when searching many images, but slows down the Stasm search slightly. The default 10 milliseconds makes searches about 10% slower (on a 1.5 GHz machine), but allows you to read the internet, say, while waiting for Stasm to process many images. Set nSleep to 0 for the fastest possible execution. On the other hand, you may want to sprinkle in a few more calls to ReleaseProcessor().

## 6 ASM files

The term ASM file refers (in the Stasm context) to a file defining an ASM model created by Tasm. We use the terms "model file" or ".asm file" to mean the same thing. Model files are text files (as are all data files in the Stasm package) and thus can be examined in any text editor. Figure 13 shows the structure of a model file.

#### 6.1 Converting old ASM files

The current ASM file format is different from that in versions of Stasm prior to 1.7. The tools directory has a utility to convert old format files to new. It cannot generate the RowleyAv and VjAv shapes, so generates dummies for these. As a consequence, if necessary Stasm will issue a warning and force the config options nVjMethod and nRowleyMethod to 0. Example conversion:

awk -f asm0to1.awk stasm15/stasm/data/model-1.asm >converted-model-1.asm

```
ASM1
                  # first word must be ASM1, where 1 is the ASM file version
\mbox{\tt\#} Command: tasm \mbox{\tt-o} ... \mbox{\tt\#} comment showing how Tasm was invoked
                   # Tasm config options made available for Stasm
  nPoints 68
  fXm2vts 1
}
# comments here list the options used by Tasm
"EigVals"
{ 168 1
  1183.43
"EigVecs"
{ 168 168
  0.0349382 0.15091 -0.212292 0.110971 -0.0659393 ...
}
"AvShape"
                   # mean shape after alignment
{ 68 2
  -89.2
           41.3
}
"VjAv"
                   # mean shape in VJ frame, for generating start shape
{ 68 2
  -79.1
           20.3
"RowleyAv"
                   # mean shape in Rowley frame, for generating start shape
{ 68 2
  -96.5
           51.5
}
                   # data for pyramid level 3 follows (which in this case is lev 3)
"ProfSpecs Lev 3" # profiles types for level 3, bit fields as a hex number
  41
                   # 41 is a "classic Cootes" 1D profile, see prof.hpp
}
"Covar Lev 3 Point 0"
{ 17 17
  1231 561 353 342 369 382 ...
}
. . .
"Lev 3 Prof 0"
{ 1 17 -0.0216047 0.0771605 ...
. . .
                   # other pyramid levels follow, level 0 (full size) is last
```

Figure 13: The structure of an ASM file.

```
Tasm-example.conf

Config file specifying which shape file to use, 1 or 2D profiles, etc.

Example.shape

Shape file specified by tasm-example.conf
This file contains manual landmarking information and possibly saved face detector locations

haarcascade_frontalface_alt2.xml

Viola Jones detector data

*mask*.pgm

Rowley detector data

*.net
*.wet
```

Figure 14: Data files read by Tasm with tasm .../tasm-example/example.shape

# 7 Tasm: building ASMs

Tasm builds ASM models. Tasm creates a .asm file and a log file tasm.log. It reads

- 1. A .conf file which specifies the shape file and other parameters.
- 2. A .shape file which specifies the manual landmarks
- 3. The set of images listed in the shape file (or a subset of those images, depending on the config options).
- 4. Data files for the global face detectors. (Tasm uses the face detectors to generate a transform between the detector box and the mean shape. With nVjMethod or nRowleyMethod set to 1, Stasm uses this transform to generate a start shape.)

Figure 14 show the files used by Tasm.

## 7.1 Tasm flags

Tasm is invoked as

```
tasm [-o OUTFILE.asm] FILE.conf
```

This creates the model file OUTFILE.asm as specified by the config file FILE.conf. The -o flag specifies the output file name (the default is temp.asm).

The first shape in the shape file (that matches sTagRegex, AttrMask1, and AttrMask0 if used) is taken as the reference shape. The reference shape is used as a base for shape alignment when building the shape model (in tasmshapes.cpp).

### 7.2 Examples using the standard shape files and XM2VTS images

It is easiest to get started with Tasm by looking at a few examples. These examples require XM2VTS images. If don't have those, you can run the examples in the next section instead.

You will need to edit the Directories string in 68.shape and 84.shape for these examples to work in your environment: The file paths in the examples assume you are working in the linux, msoft, vc6, or mingw directory.

(i) To rebuild model-1.asm (1D profiles, 68 XM2VTS points).

```
tasm -o model-1.asm ../data/tasm-68-1d.conf
```

(ii) To rebuild model-2.asm (2D profiles, XM2VTS points extended to 84 points):

```
tasm -o model-2.asm ../data/tasm-84-2d.conf
```

This uses the "extended" XM2VTS shapes (Section 4.5). and thus the config option fSynthEyePoints is set true in tasm-84-2d.conf.

- (iii) Say you want to build and use a version of model-2.asm that uses 2D profiles for all the interior points of the face (model-2.asm uses 1D profiles for the mouth points). The steps are:
  - 1. Copy ../data/tasm-84-2d.conf to, say, tasm-test.conf and change nWhich2d 1 in the file to nWhich2d 2 (described in Section 5.1).
  - 2. Build a new ASM file: tasm -o test.asm tasm-test.conf
  - 3. Copy .../data/model-2.conf to, say, test.conf and change sAsmFile "model-2.asm" in the file to sAsmFile "test.asm".
  - 4. Run Stasm with the original 1D ASM file and your new 2D file: stasm -c .../data/model-1.conf -c test.conf .../data/test-image.jpg

In our tests this does not perform as well as the standard model-2.asm. Our tests were based on the AR set and the Me17 (which ignores points on the edge of the face) so your results may differ. It also runs a little slower (because it has more 2D profiles, which are slower than 1D profiles).

(iv) Other examples:

```
tasm -o 68-2d.asm ../data/tasm-68-2d.conf # 68 point model with 2D profiles tasm -o 84-1d.asm ../data/tasm-84-1d.conf # 84 point model with 1D profiles
```

(v) The makefile has further examples that are used for testing, for example

make test-varied

#### 7.3 An example using non XM2VTS shapes

The directory tasm-example contains files to build a 12 point model from 20 images. The images needed to run this example are included in the Stasm package (they are from the BioID set [JKF01]). The file paths in the example assume you are working in the linux, msoft, vc6, or mingw directory.

Look at the landmarks with Marki:

```
marki ../tasm-example/example.shape
```

Build a new ASM file with Tasm using example.shape:

```
tasm -o example.asm ../tasm-example/tasm-example.conf
```

Note that during the "Generating Rowley mean shape" phase, Tasm uses only 19 of the 20 shapes, and prints "1 no eyes, 4 one eye", meaning that two eyes were not always found. This does not matter because there are enough successful Rowley searches to build the Rowley mean shape.

Use the created example.asm file with the following command (the fit will not be good because there are only 20 training images):

```
stasm -iS -c ../tasm-example/example.conf ../data/test-image.jpg
```

This uses example.asm in the current directory because the sAsmFile entry in ../tasm-example/example.conf is ./example.asm i.e. it has a ./ prefix. See the description of sAsmFile in Section 5.2. Check the messages printed by Stasm to make sure you are using the right ASM file.

Build 2D instead of 1D profiles by adding the following line to tasm-example.conf (see Section 5.1 on Tasm options):

```
nLev2d 3 # generate 2d profiles for levels 0 to 3 (i.e. all levels)
```

In this example the shape file is already built (although with only 20 shapes — not enough for good results). If you are starting from scratch you will need to build the shape file first, typically with Marki (Section 8).

You will notice that Tasm is quite slow during the "Generating Rowley mean shape" phase. That is because Tasm has to invoke the Rowley detector for each image (and likewise for the Viola Jones detector, which is faster so the slowdown not so noticeable). Speed up this phase by pre-storing the face detector locations in example.shape. Use Fdet to do that as described in Section 9.

#### 7.4 ASMs for objects other than faces

The Stasm package been used thus far only for locating features in faces (as far as we know), but it can "easily" be used for other objects.

Summarizing, there are two important aspects (i) using a detector for your object to replace the Viola Jones detector (requires a little understanding of the Stasm code) and (ii) manually landmarking your training images (easy but requires patience and coffee).

You first need to obtain an object detector for your object (analogous to the Viola Jones face detector). One possibility of many is [HJLM07]. Modify fFindDetParams() in startshape.cpp by putting in your object detector.

Tasm and Stasm assume that there are two object detectors, viz. Viola Jones and Rowley. It is easiest to keep the ASM file structure the same, at least initially, so replace the Viola Jones detector with your new detector but provide a dummy Rowley detector (which could just duplicate the new detector).

If your object detector gives the x,y position with width and height, then without changes Tasm can generate the data needed for Stasm to position the start shape. That is done in detav.cpp — it generates VjAv and RowleyAv in the ASM file. Set the config options nVjMethod, and nRowleyMethod to 1. For best results you might need to adjust the config option VjScale (typically by testing on a validation set).

To build new shape and config files, it is perhaps easiest to start with the model in the tasm-example directory and modify that (Section 7.3).

Manually landmark your training images with Marki or the program on Tim Cootes' site.

You will have to decide if the profile types supported by Tasm suffice for your objects. See the description of ProfType and ProfType2d in Section 5.1.

Config options such as nPixDist may need adjustment because the defaults have been optimized for faces.

We would be interested in any projects along these lines. The Stasm web page has an email address.

# 8 Marki: manually landmarking images

Marki is a Windows program to manually landmark images and to look at landmarks. A screen shot is shown in Figure 15. Users have reported that the landmarking tool on Tim Cootes' site also works well.

On bootup, Marki reads a shape file. (A shape file consists of a list of images with their associated landmarks, as described in Section 4.) A simple example:

marki ../tasm-example/example.shape

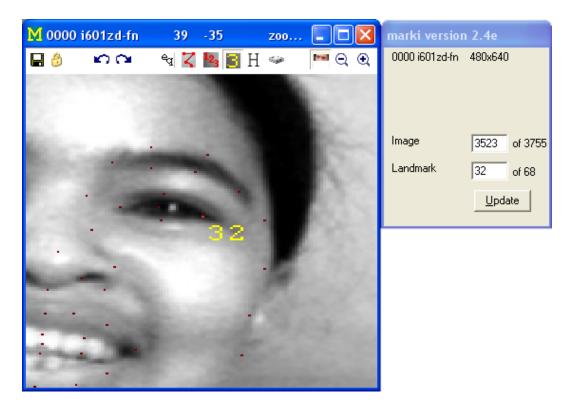


Figure 15: Marki: a tool for manual landmarking.

The first shape (that matches the -p and -P flags, if used) becomes the reference shape. Shapes that have a number of points different from the reference shape are ignored with a message. In Marki the reference shape is in all other aspects treated just like any other shape.

Figure 16 shows Marki's command line flags. Use marki -? to get the current list of command line flags. You can stipulate which landmark to edit, and so on.

Marki prints progress messages to a log file marki.log. It also prints the messages to the screen if you start Marki from the command prompt (recommended, so you can see the messages).

Things can easily go wrong when manually landmarking images. It is important that you make frequent backups of the shape file. Do this outside of Marki, and keep more than one level of backup.

Our experience has been that you should preprocess the images for manual landmarking — equalize the original images and possibly blur them slightly to get rid of scan lines — that makes manual landmarking easier.

#### 8.1 Using Marki

Figure 17 describes the buttons at the top of the Marki window.

```
Usage: marki [FLAGS] FILE.shape\n
-B
        Show all buttons (allows you to change current
        landmark number by clicking on buttons)
-F
        Fresh start (ignore saved registry entries for window positions etc.)
-1 LANDMARK
        Landmark to be altered by left mouse click
        Default: landmark used last time
-o OUTFILE.shape
        Output filename
        Default: FILE.shape (i.e. overwrite the original file)
-p PATTERN
        PATTERN is an egrep style pattern (not a file wildcard)
        Load only shapes in FILE.shape with tags matching case-independent PATTERN
        Example: -p "xyz" loads filenames containing xyz
        Example: -p " m000| m001" loads filenames beginning with m000 or m001
        Default: all (except face detector shapes)
-P Mask0 Mask1
        Load only shapes which satisfy (Attr & MaskO) == Mask1
        Attr is the hex number at start of the tag, MaskO and Mask1 are hex
        This filter is applied after -p PATTERN
        Example: -P 2 2 matches faces with glasses (FA_Glasses=2, see atface.hpp)
        Example: -P 2 0 matches faces without glasses
        Default: no filter (Mask0=Mask1=0)
        Quiet (no sound when wrapping from last image to first)
-Q
```

Figure 16: Marki command line flags.

Save Save landmarks to the shape file Lock Lock (disables left mouse click)

Undo Undo previous actions (undo depth is unlimited)

Redo Redo previous actions, i.e., undo undo

Equalize Equalize on-screen image. Keyboard: e (or right-mouse-click)

ConnectDots Connect the dots. Keyboard: d

ShowNbrs Show landmark numbers

ShowCurrentNbr Show current landmark number. Keyboard: n

HideLandmarks Hide original landmarks. Keyboard: h

WriteImage Write the displayed image (will create a unique file name)

Crop On-screen image. Keyboard: c

Zoom out Less detail. Keyboard: Zoom in More detail. Keyboard: +

AutoNextImage Move to the next image automatically after a left mouse click

Previous image. Keyboard: PageUp

NextImage Next image. Keyboard: SPACE or PageDown

AutoNextPoint Move to the next landmark automatically after a left mouse click

PrevPoint Previous landmark (only if -B flag was used)
NextPoint Next landmark (only if -B flag was used)

JumpToLandmark Auto jump to landmark (only if -B flag was used)

Help Help

Figure 17: Marki buttons, in the order they appear above the image window.

SPACE next image

PgDown next image | PgUp previous image Home first image | End last image

Following only available if -B flag was used:

RightArrow previous landmark | UpArrow previous landmark

DownArrow next landmark | LeftArrow next landmark

Hold Ctrl at same time to repeat above commands 10 times

c crop image

+ and - zoom cropped image
e equalize image

[ and ] change amount of equalization

d connect the dots

n show current landmark number
h hide original landmarks

Ctrl-Z toggle undo/redo

Figure 18: Marki key assignments.

Figure 18 shows the keys that you can use in Marki. Click on the Help button to get the latest list. For example, PageDn moves to the next image. Ctrl-PageDn skips 10 images ahead.

Change the position of a landmark with a left mouse click (see below).

Cycle forwards and backwards through images in the shape file with the buttons, keystrokes, or the mouse wheel. You can also choose an image or landmark using the dialog window. The attributes in the tag string of the current shape are shown in the dialog window.

Change the current landmark number with the dialog window.

Or if the -B command line flag was used, you can change the landmark number with buttons and arrow keys. To minimize mishaps, this is not the default.

Or click on the JumpToLandmark button to dynamically select the landmark nearest the mouse pointer. This is intended to allow easy touchups to a set of images and is currently experimental.

Save the modified landmarks to a new shape file using the Save button. Note by default that this will **overwrite** the original shape file (this is different from versions of Marki prior to 2.4a). If you don't want that, change the output shape filename with the -o command line flag. On exit Marki will ask if you want to save the landmarks if you have not already done so.

Clicking on the Save button also locks the landmark positions — click on the Lock button to unlock. The idea is to minimize the effects of incorrect mouse clicks if Marki is left unattended.

Be careful: only the shapes that were read in are saved. If the -p and -P flags are used, this will be a subset of the shapes in the original shape file. Use a text editor to merge the shape files.

Marki remembers the current image number, current landmark, screen layout, and button settings. The next time you use Marki you will start where you left off. (Marki uses the Windows registry entry HKEY\_CURRENT\_USER/Software/Marki/Config.) Override the automatic return to the same landmark number with the -1 flag. Or revert to all the defaults with the -F flag (for "fresh").

#### 8.2 The mouse

**Left click** changes the position of the current landmark.

The current landmark is shown in red and the others in dull red. After the click, the new position is shown in cyan and the old in orange. Undo the click (and just about anything else) with the Undo button.

If the button AutoNextImage is set, Marki will automatically move to the next image after the click. This is useful when you want to move "laterally", doing just one landmark in each image.

If AutoNextLandmark is set, Marki will move instead to the next landmark after the

click. This is useful when you want to do all landmarks in one image before moving on to the next.

AutoNextImage and AutoNextLandmark are mutually exclusive. Our experience is that you should use AutoNextImage to manually landmark a set of images most quickly and consistently

Mouse clicks are ignored if the current shape is a face detector shape.

**Right click** toggles equalization The amount of equalization is determined by the -e command line flag, or can be changed with the [ and ] keys.

The wheel moves backwards and forwards through the images.

#### 8.3 The -p and -P flags

Specify a subset of shapes in the shape file with Marki's -p and -P flags. These flags take the same arguments as the Tasm config options sTagRegex, AttrMask0, and AttrMask1 (Section 5.1 and atface.hpp).

To read the BioID shapes in 68.shape use (note the space in "B"):

```
marki -p " B" ../data/68.shape
```

Using "b" instead of "B" would give the same result, because the match against the -p string is case insensitive.

The above example includes the mirrored BioID shapes (which have names of the form <code>Brxxxx\_xx</code>, the second character is "r"). Since the <code>-p</code> string is a regular expression, exclude the mirrored BioID shapes with

```
marki -p " B[^r]" ../data/68.shape
```

In the following example, the reference shape is the first XM2VTS shape (because the XM2VTS shapes happen to be first in 68.shape). Thus the AR and BioID shapes in 68.shape will be ignored (because they have a different number of points to the reference shape).

```
marki ../data/68.shape
```

Other examples

### 8.4 Preparing a shape file for Marki

Marki requires a shape file (Section 4) — you can't mark images that are not in a shapefile.

Shapefiles are text files and so can be created and modified by hand.

You should create an initial shape file for images that you want to mark. If the rough positions of the landmarks are available then manual landmarking tends to be easier if you use those positions. Generate the approximate positions of the landmarks with Stasm and paste the results from the the Stasm log file into the shape file.

Alternatively, if you are not marking faces, one approach is to create the file using Emacs keyboard macros. Set the landmarks to dummy [x,y] values, for example [10,10], [20,20], [30,30].

**Don't use** [0,0] for dummy values because that is used to denote an unused landmark, as described in Section 4.4. (Actually all that happens is that Wasm gives warnings and ignores [0,0] points when displaying the shapes.)

### 8.5 Marki annoyances

In this version of the software, Marki reports errors correctly when reading the input files but does not always recover from them gracefully (it crashes), although Marki is stable once it has booted. The error handling functions that Marki uses were originally written to exit on error for Stasm and Tasm, and the retrofit for a windowed environment is incomplete. It's not a big deal in practice.

# 9 Fdet: locating faces

Fdet reads images and writes the face positions found by the Viola Jones or Rowley detector (select which one with the -r command line flag, output goes to fdet.log). Fdet can also write images showing the face positions (use the -i flag).

Fdet was used to create the face detector data saved in 68.shape and 84.shape as follows (your directory names would differ):

```
fdet faces/bioid/* faces/ar/* faces/xm2vts/* faces/mirror/*
```

After running Fdet as above, the Fdet log file fdet.log was manually cut and pasted into the shape files.

Use Marki to look at face detector shapes in a shape file (see the examples at the end of Section 8.3 for an example with 68.shape).

# 10 Mdiff: comparing text files

Mdiff is a poor man's version of the Unix utility diff that ignores text in either input file that is between [ and ].

The makefiles use Mdiff during testing for comparing files that should be the same apart from [times] enclosed in brackets.

Mdiff prints only the first line of multiple consecutive different lines. It then resynchs and looks for further differences. It prints up to a maximum of to 10 differences.

### 11 Software

This section touches on a few aspects of the software. As usual, nothing replaces perusing the code itself.

#### 11.1 Building the executables under Linux

The README.txt file in the linux directory has straightforward instructions to build Stasm in an Ubuntu environment (basically, you install the jpeg etc. libraries and run make). Building in other Linux environments should be simple but we haven't tried it.

#### 11.2 Building the executables under Windows

There are three Windows environments in which you can make Stasm, each with its own directory:

```
1. mingw gcc — we used GCC 4.2.1-sjlj (mingw32-22)
```

```
2. msoft Visual C++ 9.0 2008 (free Express edition suffices)
```

3. vc6 Visual C++ 6.0

# 11.2.1 Building from the Windows command line

Here is how to build Stasm from the command line:

1. Install OpenCV from the OpenCV download page:

http://sourceforge.net/project/showfiles.php?group\_id=22870&package\_id=16937.

2. Adjust the paths in the makefiles for your environment

- 3. If you are doing a VisualC build, make sure that the Debug and Release directories exist below msoft or vc6. Some versions of tar don't create these directories.
- 4. In one of the directories above, run make in the msoft or vc6 directory. This calls up a batch file.

## 11.2.2 Building from within the Visual C IDE

Here is how to build Stasm in the Visual C IDE:

1. Install OpenCV from the OpenCV download page:

http://sourceforge.net/project/showfiles.php?group\_id=22870&package\_id=16937.

2. Copy the OpenCV DLLs to your build directory (which must be vc6 or msoft):

```
copy "C:\Program Files\OpenCV\bin\cv100.dll" .
copy "C:\Program Files\OpenCV\bin\highgui100.dll" .
copy "C:\Program Files\OpenCV\bin\highgui100.dll" .
copy "C:\Program Files\OpenCV\bin\libguide40.dll" .
```

This step is necessary unless the OpenCV DLLs are aready on your DLL search path.

- 3. From within the IDE, use "Open Workspace" to open vc6/stasm.dsw (for VC 6.0) or msoft/stasm.sln (for VC 9.0 2008). Or open tasm.sln or marki.sln if that is what you want to build.
- 4. Check that the active project is Release and not Debug (unless you don't want that).
- 5. "Rebuild all" (in VC 6) or "Rebuild Solution" (in VC 9). You may get some messages "could not find the file \*.h". These appear to be harmless.

Caveat: the IDE project files were created by someone who seldom uses the IDE.

#### 11.2.3 Some common build errors

The VisualC 9 IDE sometimes crashes if you use "Build Solution". Work around that by using "Rebuild Solution" first.

A message like

### This application has failed because cv100.dll was not found

means that that you need to copy the missing DLL to the current directory. For other solutions to this problem, see http://msdn.microsoft.com/en-us/library/7d83bc18(VS.80).aspx?ppud=4 or Google "DLL search path"

A message like

cp: /Program Files/OpenCV/bin/cv100.dll: The system cannot find the file specified. means that you need to install the OpenCV libraries.

	VC 6	VC 9	gcc	
Stasm on the BioID set	450 1.00	480 1.07		ms per image relative time
Tasm building model-1.asm	250 1.00	190 0.76	230 0.92	seconds relative time

Figure 19: Execution times on a 1.6 Ghz Pentium M. You can expect some variance in these times.

A VisualC compiler message

warning D9035: option 'GX' has been deprecated

means you are running the VC 9 compiler with the VC 6 makefile.

VisualC linker messages such as

error LNK2001: unresolved external symbol \_\_\_security\_cookie

or

warning LNK4078: multiple ".CRT" sections found with different attributes

mean that you have mixed VC 6 and and VC 9 objects. After fixing your environment, do a "make clean" and try again.

#### 11.3 Comparing the executables

Numerical issues mean that the output of the executables built with gcc differs slightly from those built with Visual C. However, the mean landmark location accuracy is virtually identical.

Figure 19 shows execution times for the various builds. TODO The mingw Tasm time could be improved — see CollectProf().

#### 11.4 Calling the landmark locator from your own code

If you want to call the landmark locater from your own code, take a look at the minimal implementation in minimal.cpp (reproduced in Figure 20). This program finds the landmarks in a test image and writes an image showing the results. You will probably also want to read stasm/main.cpp.

The Stasm object files must also be linked in — see the makefiles for details. Some Stasm source files may need to be replaced or modified. For example, err.cpp handles error reporting and you probably have your own error routines. System dependent code is in err.cpp (or werr.cpp for windowed apps), misc.hpp, release.cpp (or wrelease.cpp for windowed apps), and util.cpp.

Make execution of the minimal program quieter by changing the definition of

```
#include "stasm.hpp"
int main(void)
    const char *sImage = "../data/test-image.jpg";
    RgbImage Img(sImage);
                                // read the image
    SHAPE StartShape;
                                // dummy arg for AsmSearch
    DET_PARAMS DetParams;
                                // dummy arg for AsmSearch
    double MeanTime;
                                // dummy arg for AsmSearch
    SHAPE Shape = AsmSearch(StartShape, DetParams, MeanTime, Img, sImage);
                                // successfully located landmarks?
    if (Shape.nrows())
        {
        DrawShape(Img, Shape); // draw landmark shape on image
        CropImageToShape(Img, Shape);
        WriteBmp(Img, "search-results.bmp", VERBOSE);
        // Shape.print("Landmarks:\n"); // print the landmarks if you want
    return 0;
}
```

Figure 20: Example code for finding landmarks.

#### VERBOSE\_ASM\_SEARCH in stasm.hpp.

If you have existing matrix or image libraries you may want to replace the interface in the mat and image directories. However it is usually much simpler (although inelegant) not to do so and to keep both your libraries and the Stasm code in parallel.

#### 11.5 Aspects of the Stasm code

All programs in the Stasm library return 0 on success and non-zero otherwise.

The Stasm code is in C++ but generally written in a C-like way.

The code uses a form of Hungarian notation, for better or worse (http://en.wikipedia.org/wiki/Hungarian\_notation). Figure 21 shows the naming scheme. The prefix characters can be combined, so you will see prefixes like sg for global strings. Additionally, defines, constants, and typedefs are usually in upper case or have an upper case prefix. There is a fair amount of inconsistency, which usually occurs when code is pulled in from other libraries.

In function parameter lists, parameters that are updated appear before other parameters.

Function declarations have a space before the "("; function usages and externs don't. This is useful when searching for a function definition.

A comment line of dashes separates functions, and means that code in functions does not need to be offset from the left margin for legibility.

Prefix	Type	Example
	1	
$\mathbf{n}$	number	nShapes is the number of shapes
i	index	iShape is a shape index, typically in an array
$\mathbf{p}$	pointer	pShape points to a shape
$\mathbf{s}$	$\operatorname{string}$	sShape is a shape name
${f g}$	global var	gShapes is a global array of shapes
$\mathbf{f}$	boolean	fFound, f for flag (b is used for bits but unnecessary in Stasm)
$\mathbf{e}$	enum	eLandmarks

Figure 21: Naming conventions in the Stasm package.

Several utility functions and variables are listed below.

Err Prints an error message and exits the program. Takes arguments like printf.

Warn Prints a warning message. Takes arguments like printf.

lprintf Prints to the screen and to the log file. Calling printf directly is unusual (Tasm uses it only to print user pacifiers for long processes). Prepare lprintf at the start of the program by calling pOpenLogFile, else lprintf just prints to the screen (which is appropriate for some applications, e.g. Fdet).

**logprintf** Prints to the log file (or nowhere if not prepared by calling pOpenLogFile, which is legal).

Fopen, Fprintf, Fwrite, Fread These are like their counterparts with lower case names but give an error message and exit on failure

Fgets Like gets but skips white space lines and comments (lines beginning with #).

nGetLineNbr(FILE \*pFile) Returns the current line number in pFile. This is slow (because it scans the file from the beginning) but is only used for reporting errors.

sgBuf A global string buffer for general use.

The memory allocation routines malloc and calloc are redefined in safe\_alloc.hpp to issue an error message if there is no available memory.

The files werr.cpp and wrelease.cpp are versions of err.cpp and release.cpp that are used in windowed applications (applications that put a window on the screen, as opposed to command line applications).

The directory image has standard monochrome and RGB image classes. These were written before portable image libraries were easily available (before OpenCV for example). People sometimes ask us to chuck out these classes and replace them with their favorite library. What makes that non-trivial (but not hard) is different coordinate systems (Section 4.3).

Files in the mat directory define a matrix class Mat and a matrix view class MatView. They are built on the matrix routines in the Gnu Scientific Library and based on the gslwrap library (Section 12). The header of mat.hpp has a full description. You can do the usual things like adding matrices with an overloaded + operator. Matrix views allow you to access a matrix or part of that matrix without copying data — so you can, for example, treat an entire matrix as one long row vector using viewAsRow(). Assignment (=) of matrices will give a runtime error message if the matrices are not conformable, with the exception that assignment to a 0 by 0 matrix (i.e. an uninitialized matrix) is always allowed. This is intended to protect against programming errors. Use the assign method to assign non-conformable matrices. These classes were written a while ago, before there were easily available matrix classes that compiled in both the Microsoft and GCC environments.

The file matvec.hpp has facilities to manipulate STL vectors of Mats.

Stasm tends to use row vectors instead of column vectors (for compact printing when debugging, a decision made when the project started, possibly not the correct choice). This means, for example, that the software uses  $\mathbf{x}\mathbf{A}\mathbf{x}^{\mathbf{T}}$  where you might expect to see  $\mathbf{x}^{\mathbf{T}}\mathbf{A}\mathbf{x}$ .

The tokens VX and VY (defined as 0 and 1) by convention specify the x or y column in a shape matrix.

In some cases, program execution continues after hitting Control-C. This behavior seems to have come in with version 1.0 of the OpenCV library. Hit Control-C again if necessary.

Prior to Stasm, the Rowley detector was used extensively in other projects and the code has been considerable modified. In particular, error and file handling has been unified with the approach used in Stasm (so gives Stasm-style error messages for example).

# 12 Acknowledgments

I take pleasure in acknowledging the following people who provided ideas, code, data, and techniques used in Stasm.

Tim Cootes http://www.isbe.man.ac.uk/~bim

David Cristinacce http://mimban.smb.man.ac.uk

Fred Nicolls http://www.dip.ee.uct.ac.za/~nicolls

Brad Yearwood and Pierre Moreels for help with the Ubuntu port.

GuoQing Hu for his start-shape alignment technique http://digface.t35.com

Roger Willcocks for his prototype ASM code http://www.rkww.com

Eugen Dedu for his line drawing code http://lifc.univ-fcomte.fr/~dedu

Henry Rowley, Shumeet Baluja, and Takeo Kanade for the Rowley face detector http://vasc.ri.cmu.edu/NNFaceDetector

M. Galassi, J. Theiler, and others for the GSL library http://www.gnu.org/software/gsl

Ramin Nakisa and others for gslwrap (used as a basis for Stasm's Mat class) http://sourceforge.net/projects/gslwrap

Paul Viola and Michael Jones for their face detector http://research.microsoft.com/~viola and http://www.merl.com/people/mjones

Rainer Lienhart for his implementation of the Viola Jones detector http://www.lienhart.de

Jesorsky, Kirchberg, and Frischholz for making available the BioID data http://www.bioid.com/downloads/facedb

Aleix Martinez and Robert Benavente for the AR database  $http://cobweb.ecn.purdue.edu/~aleix_face_DB.html$ 

The XM2VTS people at Surrey for the XM2VTS database http://www.ee.surrey.ac.uk/CVSSP/xm2vtsdb

The manual landmarkers at Manchester for their work http://david.cristinacce.net and http://www.isbe.man.ac.uk/~kob

The Independent JPEG Group for their library http://www.ijg.org

The OpenCV vision library http://www.intel.com/technology/computing/opencv/index.htm

#### References

- [Cri04] D. Cristinacce. Automatic Detection of Facial Features in Grey Scale Images (Doctoral Thesis). University of Manchester (Faculty of Medicine, Dentistry, Nursing and Pharmacy), 2004. http://david.cristinacce.net/index.php.
- [CT04] T. F. Cootes and C. J. Taylor. Technical Report: Statistical Models of Appearance for Computer Vision. The University of Manchester School of Medicine, 2004. http://www.isbe.man.ac.uk/~bim/Models/app\_models.pdf.
- [HJLM07] Gary B. Huang, Vidit Jain, and Erik Learned-Miller. Unsupervised joint alignment of complex images. ICCV, 2007. Source code available at http://vis-www.cs.umass.edu/code/congealingcomplex.
- [JKF01] O. Jesorsky, K. Kirchberg, and R. Frischholz. Robust Face Detection using the Hausdorff Distance. AVBPA, 2001. http://www.bioid.com/downloads/facedb.
- [LM02] Rainer Lienhart and Jochen Maydt. An Extended Set of Haar-like Features for Rapid Object Detection. ICIP, 2002.

- [MB98] A.M. Martinez and R. Benavente. *The AR Face Database*. CVC Tech. Report 24, 1998. http://rvl1.ecn.purdue.edu/~aleix/aleix\_face\_DB.html.
- [Mil07] S. Milborrow. Locating Facial Features with Active Shape Models (Master's thesis). University of Cape Town (Department of Image Processing), 2007. http://www.milbo.users.sonic.net/stasm.
- [MN08] S. Milborrow and F. Nicolls. Locating Facial Features with an Extended Active Shape Model. ECCV, 2008. http://www.milbo.users.sonic.net/stasm.
- [RBK98] Henry A. Rowley, Shumeet Baluja, and Takeo Kanade. Neural Network-Based Face Detection. PAMI, Volume 20, pages 23–38, 1998. http://vasc.ri.cmu.edu/NNFaceDetector.
- [VJ01] P. Viola and M. Jones. Rapid object detection using a boosted cascade of simple features. CVPR, Volume 1, pages 511-518, 2001. http://citeseer.ist.psu.edu/article/viola01rapid.html.